# The Origin of Gravity

The Origin of Gravitation

# The acceleration of a particle in free fall is caused by the refraction of its oscillating components by a cloud of exchange particles. This also explains the weakness of gravity and the fact that its effect is only an attractive one.

- It also includes all phenomena of General Relativity -

# 0 Abstract

According to present understanding of physics, gravity is described by Einstein's general theory of relativity. Here gravity is a geometric phenomenon described by a four-dimensional system of space and time. It is curved in the general case, and is formally described by the Riemannian geometry.

An alternative way of viewing gravity is to use the fact that the speed of light is reduced in a gravitational field. Time is represented by the internal oscillations of elementary particles. Einstein originally tried to develop general relativity in these lines. His 1911 paper "Über den Einfluss der Schwerkraft auf die Ausbreitung des Lichts" ["On the influence of gravitation on the propagation of light"] was a first step into this direction. Unfortunately, Einstein's paper contains a false assumption and its consequences seem to have discouraged Einstein pursuing this path.

This calculation not only gives the gravitational behavior of an object at rest, but also explains the acceleration of fast-moving objects in a gravitational field, which is usually explained using Einstein's general theory of relativity ("curvature of space-time"). The refraction of light-like objects by the field is an equivalent but simpler alternative to Einstein's model of space-time curvature.

Here we will show that this approach works extremely well.

# 1 Introduction

It is well known that the speed of light is slowed down in a gravitational field. As a result, a beam of light passing a massive object will be deflected towards that object. This deflection can be quantitatively explained by the refraction of the light due to the gravitational potential. - The same thing happens to any light-like particle.

From the spin and the magnetic moment of an elementary particle it can be concluded that the constituents of such particles are in constant oscillation travelling at the speed of light *c*. In the case of the electron, this was determined by Paul Dirac in 1928. Applying the effect of refraction to this oscillation within an elementary particle, it gives the correct gravitational acceleration of a free physical object in a gravitational field.

This calculation not only gives the gravitational behaviour of an object at rest, but also explains the acceleration of fast-moving objects in a gravitational field, which is usually explained by Einstein's general theory of relativity ("curvature of space-time"). The refraction of light-like objects by the field is an equivalent but simpler alternative to Einstein's model of the curvature of space-time.

And, very surprisingly, we find that the mass of an object is not the cause of its gravitational field.

# This understanding of gravity eliminates the problems of dark matter, as photons fulfil the functions of dark matter in all occurrences.

And it explains the problem of dark energy and the very grave problem of quantum gravity.

# The structure of particles used here is called the **Basic Particle Model**.

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### 2 Bending of a Beam of Light Passing a Massive Object

The speed of light is not constant in the vicinity of matter but depends, in the case of the sun for example, on the gravitational potential as follows:

$$c_{redu}(r) = c \cdot (1 - 2 \cdot \frac{G \cdot M}{r \cdot c^2})^{p}$$
(2.1)

where *c* is the speed of light in gravity-free space,  $c_{redu}$  is the reduced speed of light in the field, *G* is the gravitational constant; *M* is the mass of the source, which is traditionally said to cause the gravitational potential; and *r* is the distance from the centre of the gravitational source. The power *p* is  $\frac{1}{2}$  or 1 depending on the direction of motion with respect to the centre of gravity; it is 1 for the radial direction and  $\frac{1}{2}$  for the tangential one.

This equation was determined experimentally for the first time by the Shapiro experiment in 1970 for small changes in *c*.

(Remark: Equation (2.1) can also be derived using Einstein's general theory of relativity. We will not use Einstein's theory here, but instead quote the experimental result as such. Later we will derive the equation from physical considerations in contrast to the structural considerations used by Einstein.)

From classical optics, it follows that a light beam passing through a region in which the speed of light depends on its position is deflected towards the region in which the speed of light is lower.



Figure 2.1: A light-like object deflected by a massive object

The deflection of a light beam passing the sun was correctly determined for the first time by Albert Einstein. He predicted the gravitational acceleration of the photon to be twice the value of the Newtonian acceleration (as first predicted by von Soldner in 1801). This has historically been taken as important proof of Einstein's concept of a 4-dimensional curved space-time. We will, however, see that Einstein's concept is not necessary to achieve this result. A calculation based on the classical assumption of refraction yields the same result.

### 2.1 The Size of the Deflection

There now follows a brief collection of equations, which describe the deflection of the path. A detailed deduction of the refraction process is given in Appendix A (pdf-version).

The first step necessary is to take the derivative of c with respect to the direction y - perpendicular to the path of the particle (y being equal to d at the vertex). And we define x as the distance between the point being considered and the vertex of the path.

We can now describe the radial distance r in terms of the components x and y:

$$r^2 = x^2 + y^2 \,. \tag{2.2}$$

Taking into account the dependency of the reduction of c on x and y, which follows in a somewhat complex way from equations (2.1) and (2.2), we get

$$c_{redu} = c \cdot \left[ 1 - \frac{GM}{c^2 \sqrt{x^2 + y^2}} \left( 1 + \frac{x^2}{x^2 + y^2} \right) \right].$$
(2.3)

Taking the derivative of this equation with respect to y yields

$$\frac{dc}{dy} = \frac{GM \cdot y}{c} \cdot \left(\frac{1}{r^3} + \frac{3x^2}{r^5}\right).$$
(2.4)

Here we assume that the deflection is small (i.e.  $\alpha \ll 1$ , so (*x*,*y*) describes an almost straight line).

We can then determine the differential angle of deflection,  $d\alpha$ , by applying the classical mechanism of refraction and assuming  $c_{redu} \approx c$ .

$$d\alpha = \frac{1}{c} \frac{dc}{dy} dx \,. \tag{2.5}$$

If we now replace *r* and *x* by  $\vartheta$ , the angle between the direction of the vertex of the path (i.e. the closest position to the sun) and the actual position, and *y* by *d* in eq. (2.4) we get

$$d\alpha = \frac{GM}{c^2 d} \cdot (3\sin^2 \vartheta \cos \vartheta + \cos \vartheta) d\vartheta.$$
(2.6)

Integrating equation (2.6) over  $\vartheta$  from  $\vartheta = -\pi/2$  to  $\vartheta = \pi/2$  yields

$$\alpha = 4 \cdot \frac{GM}{c^2 d}.$$
(2.7)

Inserting the appropriate values

- $G = 6.674 * 10^{-11} m^3 kg^{-1} s^{-2}$
- $M = 1.989 \times 10^{30} \, kg$
- $C_0 = 2.998 * 10^8 \text{ m s}^{-1}$

 $- d = 6.95^{*}10^{8} m$ 

and converting to degrees, we get the correct result of

# 1.75 arcsec.

This corresponds to twice the classical gravitational acceleration (Newton) and agrees with observation. Both the numerical result and the analytical result (2.7) also conform to the predictions of general relativity – however without resorting to general relativity and space-time curvature.

#### 2.2 The Acceleration

Next we will need the acceleration of the beam at the vertex of the path. The acceleration a within the beam depends on the angle of deflection  $\alpha$  as follows:

$$a = c^2 \frac{d\alpha}{dx}.$$
 (2.8)

Inserting (2.5):

$$d\alpha = \frac{1}{c}\frac{dc}{dy}dx$$

into this we get

$$a = c \frac{dc}{dy}.$$
 (2.9)

Now using (2.4):

$$\frac{dc}{dy} = \frac{GM \cdot y}{c} \cdot \left(\frac{1}{r^3} + \frac{3x^2}{r^5}\right).$$

yields

$$a = GM \cdot y \cdot \left(\frac{1}{r^3} + \frac{3x^2}{r^5}\right). \tag{2.10}$$

At the vertex, x=0 and y = r, giving

$$a_{vertx} = \frac{GM}{r^2}$$
(2.11)

which is the value of the Newtonian acceleration for this particular position.

# 3 Relationship between Gravity and the Basic Particle Model

# 3.1 The Structure of Elementary Particles

The above chapter was about gravity at high velocity. Next we have to understand the effect of gravity on an object at rest.

To understand further implications of the refraction process for the phenomenon of gravity, we have to investigate the general structure of matter, i.e. the structure of elementary particles.

From the dynamic parameters of an elementary particle, its spin and its magnetic moment, and also from its relativistic behaviour it follows that a particle is made up of two sub-particles, which we will call "basic particles" and which orbit each other at the speed of light c as shown in figure 3.1.



Figure 3.1: Basic Particle Model

This structure was in principle already found by Erwin Schrödinger in 1930, when he analysed the Dirac function of the electron and found that there must be a permanent internal oscillation at the speed of light *c*. He gave this oscillation the German name "Zitterbewegung" ("ZBW").

The <u>Basic Particle Model</u> assumes that this structure is valid for all leptons and also for all quarks.

# 3.2 Conditions for the Refraction of an Elementary Particle

In textbooks which deduce gravitational lensing by classical means, the refraction of light or light-like particles in a situation with a varying speed of light is normally explained by means of a pair of photons or a pair of particles. However, we have to assume that a single object is subject to refraction too. So, a basic particle is also subject to refraction in the appropriate situation.

This is a necessary assumption at this point in order for the following considerations to apply. It can be logically understood from the point of view of the particle, if we assume that even a basic particle has an extension (a kind of charge cloud, which may be arbitrarily small) or it is in a state of permanent oscillation.

# 3.3 Gravity Acting on an Object at Rest

# 3.3.1 Gravitational Acceleration for a Special Orientation

If an elementary particle is placed in a gravitational field, its basic particles are subject to refraction as explained above. This refraction causes the basic particles to deviate from their circular path. This will in turn cause the entire elementary particle to move.

If we take an elementary particle oriented in such a way that its orbital axis points towards the source of the gravitational field, then refraction causes the basic particles to spiral towards the source of the field. Hence the entire elementary particle will move in the direction of the source. Due to refraction, the pitch angle of the basic particles,  $\alpha$ , will steadily increase. This causes the elementary particle to perform accelerated motion towards the gravitational source.



Figure 3.2: Progressive spiralling downwards

Figure 3.2 shows the downward accelerated motion. Note that only the path of one of the two basic particles is shown here to keep the drawing simple.

In this case the acceleration of the (composite) elementary particle is similar to the acceleration given in equation (2.11)

$$a = \frac{G \cdot M}{r^2} \tag{3.1}$$

which is again the value of the Newtonian acceleration.

# **3.3.2 Gravitational Acceleration in Arbitrary Orientations**

In the general case, the orientation of the axis is at an arbitrary angle  $\theta$  with respect to the vertical direction (see figure 3.3).



Figure 3.3: General orientation of a particle

In this case only the projection of the refraction in the vertical direction is effective for gravity. This means on the one hand that the gravitational acceleration is reduced compared to the case discussed above. However, on the other hand, this reduction is compensated for by an increase in the refraction of the vertical component as seen in eq. (2.10), i.e. the term with a factor of 3.

So in the case of an arbitrary orientation of the elementary particle too, we can get the result given in eq. (3.1)

$$a = \frac{G \cdot M}{r^2}$$

which is the well-known result for the classical case (Newton).

# 4 The Equivalence Principle

#### 4.1 Equivalence Classically

The (weak) equivalence principle deals with the fact that every object undergoes the same gravitational acceleration independently of its mass.

In order to explain this fact, Newton introduced the equivalence principle, saying that there exists an inertial mass and a gravitational mass. Both types of mass are said to be equivalent to a high degree, and consequently the gravitational force acting on an object is strictly proportional to the inertial force, so that every object undergoes exactly the same acceleration in a particular gravitational field.

When Einstein developed the general theory of relativity, he adopted this principle and made it to one of the pillars of his theory.

Neither Newton nor Einstein ever attempted to explain this phenomenon in physical terms.

#### 4.2 Equivalence Based on the Particle Model

Looking at figure 3.2, it is obvious that the deflection of the path of the basic particles is independent of the radius of the particle and, because of the <u>particle model</u> used here, independent of the mass of the particle. So the fact that the gravitational acceleration is

independent of the mass has a very natural cause. No assumptions about any equivalence are needed.

Figure 3.2 shows why an elementary particle at rest is subject to gravitational acceleration. It is in fact gravitational lensing on a microscopic scale.

This is the *physical* cause of the independence of gravitational acceleration from the mass of an object.

#### 5 The Schwarzschild Solution

Working with Einstein's field equations is an extremely challenging task. A short time after Einstein published his general theory of relativity, Karl Schwarzschild presented a solution for the simpler, less general situation of a spherically symmetric field, like the one surrounding the sun, which is a very common case in astronomy. The experiments and observations cited in the literature as proving Einstein's general theory of relativity usually refer to the results of the Schwarzschild solution.

According to the Basic Particle Model, an elementary particle is made up of two sub-particles orbiting each other. Their temporal behaviour is given in the following equation describing the proper time of an object in motion, as it is normally a part of <u>special relativity</u>:

$$\tau = t \cdot \left(1 - \frac{v^2}{c^2}\right)^{1/2}.$$
 (5.1)

Here *t* is the time as measured in the system at rest, and  $\tau$  in fact describes the development of temporal processes in the system in motion. Taking the derivative of this equation with respect to *t* and squaring and rearranging the result, we get:

$$c^{2}\left(\frac{d\tau}{dt}\right)^{2} = c^{2} - v^{2}.$$
(5.2)

In a gravitational field this time-dependent behaviour changes. The understanding of this change guides us directly to the Schwarzschild solution.

Since the Schwarzschild solution is normally presented in polar coordinates, we first split the speed into its radial and tangential components:

$$c^{2} \left(\frac{d\tau}{dt}\right)^{2} = c^{2} - v_{rad}^{2} - v_{tan}^{2}$$
(5.3)

where  $v_{rad}$  and  $v_{tan}$  denote the radial und the tangential components of the speed with respect to the centre of the gravitational source.

Now we have to take into account the fact that c changes in a gravitational field in the following way according to (2.1):

$$c_{red}(r) = c \cdot (1 - 2 \cdot \frac{G \cdot M}{r \cdot c^2})^p$$

where p is  $\frac{1}{2}$  or 1 depending on the direction of motion with respect to the centre of gravity.

Furthermore, the size of the elementary particles changes in the gravitational field because the speed of light *c* changes in the field. By taking this fact into account when using eq.(5.3) we get – as deduced in detail in the appendix B:

$$c^{2}\left(\frac{d\tau}{dt}\right)^{2} = \left(1 - 2\frac{G \cdot M}{r \cdot c^{2}}\right) \cdot c^{2} - \left(1 - 2\frac{G \cdot M}{r \cdot c^{2}}\right)^{-1} \left(\frac{dr}{dt}\right)^{2} - r^{2} \cdot \left(\left(\frac{d\vartheta}{dt}\right)^{2} + \sin^{2}\vartheta \cdot \left(\frac{d\varphi}{dt}\right)^{2}\right)^{2} \right)^{2}$$
(5.4)

The equations are usually simplified by defining the so-called Schwarzschild radius rs

$$r_s = 2 \cdot \frac{G \cdot M}{c^2} \tag{5.5}$$

and we can also denote the derivative with respect to *t* by a prime. This leads to:

$$C^{2}\tau^{\prime 2} = \left(1 - \frac{r_{s}}{r}\right) \cdot C^{2} - \left(1 - \frac{r_{s}}{r}\right)^{-1} r^{\prime 2} - \left(\vartheta^{\prime 2} + \sin^{2}\vartheta \cdot \varphi^{\prime 2}\right) \cdot r^{2}$$
(5.6)

which is a popular way of writing the Schwarzschild solution.

A detailed deduction is given in Appendix B of the pdf-version.

# 6 The Cause of Gravity

We have seen that gravity is in fact not a force but a refraction process. And the cause of this refraction is the variation in the speed of light *c* in the vicinity of matter.

# 6.1 The Variation of the Speed of Light

Equation (2.1) is the basis for explaining all phenomena associated with gravity. Now we have to understand this dependency.

The reduction in c can be explained by the exchange particles, which are associated with the binding field between the <u>basic particles</u>.

According to the Basic Particle Model, the binding field is the field due to the strong interaction, which is – also according to the model – the universal force in our world which affects all existing particles. These exchange particles, which effect an attraction or a repulsion in a random way, also interact with all light-like particles. They cause such a particle to be deflected towards the origin of the exchange particle (which is the basic particle) or away from it. So the light-like particle performs a random walk as depicted in figure 6.1. As a result the average speed of the light-like particle is reduced, even though its microscopic speed is still the speed of light c.



Figure 6.1: Disturbance in the path of a light-like particle

According to the Basic Particle Model, the field due to any basic particle is made up of a similar collection of elementary charges (of the strong field), and it is therefore independent of the type of elementary particle to which the basic particle belongs. Hence the flow of exchange particles is also independent of the type of particle. Consequently the reduction in *c* and thus the gravitational effect is also independent of the type of particle, which means that it is independent of the size and consequently independent of the mass of the elementary particle. *Every elementary particle provides the same contribution to the gravitational field*.

This understanding contradicts conventional physics, but it helps to overcome the principle problems of present-day gravitational physics.

Remark: The above explanation may appear to conflict with the fact that the forces within an elementary particle in the binding function have a limited range. This seems not to conform to the infinite range of the gravitational field. The solution is that the binding field is set up as a multi-pole field by an arrangement of monopole charges producing the strong force. The exchange particles of these monopole charges, which cause the disturbance in the path of a light-like particle, have an unlimited range as we know from the similar case of electric charges.

# 6.2 Speed Reduction in Detail

As mentioned above, the reduction in *c* is caused by the continuous deflection of the light-like particle. This magnitude of this reduction will now be determined.

We will consider two orthogonal cases, the motion in the tangential direction with respect to the centre of gravity, and in the radial direction. In the tangential direction the reduction is given by

$$c_{eff,tan} = c_{\sqrt{1 - \frac{v^2}{c^2}}}.$$
(6.1)

as this is a perpendicular disturbance of the path. In the radial direction it is

$$c_{eff,rad} = \frac{c^2 - v^2}{c} = c \cdot \left(1 - \frac{v^2}{c^2}\right).$$
(6.2)

which is greater than in the former case, being a longitudinal disturbance of the path.

The effective size of the effect on the speed depends on two factors

- 1. The influence of a single exchange particle
- 2. The rate of incidence of exchange particles.

As the influence of the exchange particles adds up in a random way, the resulting deflection depends on the square root of the rate of incidence of the particles and therefore on the square root of the number of particles N causing the gravitational field. The rate further depends on the distance between the particles which form the source of the gravitational field and the point under investigation. Combining all these, the resulting equation is

$$C_{eff} = C \cdot \left(1 - \frac{g \cdot N}{c^2 \cdot r}\right)^p \tag{6.3}$$

where again p=1 for radial motion and p=1/2 for tangential motion.

Furthermore, the extension of the binding field in an elementary particle and hence the size of any objects in a gravitational field is given by the equation

$$r_{red} = r \cdot \left(1 - \frac{g \cdot N}{c^2 \cdot r}\right)^{(p-1/2)}$$

Here we have used N to denote the number of elementary particles causing the gravitational field, to reflect the fact that this influence is independent of the mass of a particle. And so we have to use a different gravitational constant, denoted here by g.

The derivation is given in detail in Appendix C of the pdf-version.

# 7 Present Problems with Gravity

- <u>Dark Matter</u>: It is at present not understood why a rotating galaxy is a stable configuration. By conventional calculations the mass within the galaxy can only explain approx. 1/6 of the necessary centripetal acceleration. - If we assume that the gravitational field is caused not by the mass of the stars but, for example, by the number of elementary particles of which the stars are made up, then we are close to finding a solution which does not call for assuming things like "dark matter" or undetected elementary particles.
- <u>Dark Energy</u> is a phantom because the acceleration of supernovae is only a seeming result of the evaluation. The acceleration in fact disappears if it is accepted that the speed of light was greater in the past. This latter assumption also solves other open problems of cosmology, by the way. For details look <u>here</u>.
- 3. <u>Quantum Gravity</u>: According to chapter 6, the phenomenon of gravity is a very weak side effect of the strong interaction. On the other hand, as the strong interaction is fully covered by quantum mechanics, any discrepancy between QM and gravity disappears.

#### 7.1 The "Dark Matter" Phenomenon

Some decades ago the rotational speed within and around big galaxies was discovered to conflict with the equilibrium speed determined on the basis of standard gravity. Figure 7.1 shows the discrepancy for the galaxy NGC 3198.



Figure 7.1: Equilibrium conflict for the galaxy NGC 3198 (The radius of the galaxy is 10 kpc)

The solid (green) curve labelled "disk" is the rotational speed as a function of the radius based on a normal gravitational calculation. The uppermost individual values are measurements of the actual speed; a curve (also solid, black) is fitted through these measurements. The intermediate solid (blue) line labelled "halo" describes the distribution of the assumed "dark matter" that is required in order to explain the measured values.

The red dotted line, which is very close to the "halo" curve, follows from the assumption described above, whereby every elementary particle contributes equally to the gravitational field. It represents the contribution of light particles, i.e. neutrinos and photons. In the drawing, the height of this line has been chosen to fit the needs of this diagram, but it fits the assumed data within a tolerance of a factor of 2-3; its curvature, however, is given by the natural distribution of the light particles and is not parameterised.

Of the light particles mentioned, the photons are mainly generated by the hot, bright stars at the centre of the galaxy. The neutrinos are similarly generated by the nuclear processes within the stars, the sources of which are also mostly in or close to the centre of the galaxy. These particles create a continuous flow away from the centre at the speed of light c (or almost this speed). This flow causes their spatial distribution to be

$$\rho \propto \frac{1}{r^2}$$

where  $\rho$  is the density of the particles and *r* is the distance from the centre of the galaxy. The number of particles *N* within a sphere up to a radius *r*<sub>0</sub> is then

$$N = \int_{0}^{r_{0}} \rho \cdot 4\pi r^{2} dr \propto \int_{0}^{r_{0}} \frac{1}{r^{2}} \cdot 4\pi r^{2} dr \propto r_{0}.$$

The acceleration *a* towards the centre of the gravitational field (with  $r_0$  here renamed *r*) is

$$a \propto \frac{1}{r^2} \cdot N \propto \frac{1}{r^2} \cdot r = \frac{1}{r}$$
.

The centrifugal acceleration on the other hand is

$$a=\frac{v^2}{r}$$
.

In order to keep these two accelerations in a balance, it follows for the orbital speed v that

$$\frac{v^2}{r} \propto \frac{1}{r} \qquad \Rightarrow v = const.$$

This is the reason for the curvature of the red dotted line in figure 7.1, and so it provides the necessary contribution to the gravitational field which is elsewhere attributed to so-called "dark matter".

#### 8 Conclusion

We have shown that gravity is not the force # 4 but a refractive process that acts on all particles. The refraction approach agrees with the results found by Einstein's method, at least up to the Schwarzschild solution, as has been demonstrated here. And it is easy enough to be taught at a college level.

This approach provides answers to several open questions about gravity:

- 1. The weakness of this effect (which is primarily not a force)
- 2. The attracting-only effect.

The investigation of the cause of the related processes explains

- 1. The phenomenon called "dark matter"
- 2. The unresolved conflict with quantum mechanics called "quantum gravity".

The related understanding of relativity in general solves the apparent problem of dark energy in a very easy - and physical - way.

Generally speaking, there is no longer any need for the assumption of a 4-dimensional curved space-time in order to explain the phenomena described above. All phenomena of general relativity are caused by the variation of the speed of light *c* in a gravitational field. - In addition we must accept that the mass of an object is not the cause of the object's gravitational field.

An explanation of the <u>'Origin of (Inertial) Mass'</u> is also available.

Details of the calculations given in the preceding are presented in the appendix (pdf-version), and in a book series [1].

NOTE:

The concept of mass-independent gravitation was first presented at the Spring Conference of the German Physical Society (Deutsche Physikalische Gesellschaft) on 5 March 2005 in Berlin by Albrecht Giese.

[1] Michael C. Duffy and Joseph Levy, *Ether Space-Time & Cosmology*, Vol. 3, Apeiron (2009) 143 – 192

Comments are welcome.

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#### **Appendices**

#### Appendix A Mathematical Deduction of the Refraction Process

In the following we will call the velocity of light in a field-free vacuum  $c_0$  in order to distinguish it from the different values of c in the corresponding situations. So eq. (2.1) takes the following form:

$$c(r) = c_0 \cdot (1 - 2 \cdot \frac{G \cdot M}{r \cdot c_0^2})^{\rho}$$
(A.1)

remembering that the power p is  $\frac{1}{2}$  or 1 depending on the direction of motion with respect to the centre of gravity; i.e. it is 1 for the radial direction and  $\frac{1}{2}$  for the tangential one.

The effective speed of light for an arbitrary direction can be broken down into its components:

$$\boldsymbol{C}_{eff} = \sqrt{\boldsymbol{C}_{rad}^2 + \boldsymbol{C}_{tan}^2} \,. \tag{A.2}$$

Here  $c_{rad}$  is the radial component of *c* and  $c_{tan}$  is the "tangential" direction perpendicular to it. See figure A.1.



Figure A.1: Components of *c* during gravitational lensing

To simplify the equations, we will use the common definition of the so-called Schwarzschild radius  $r_s$  as a measure of the strength of the gravitational field

$$r_s = 2 \cdot \frac{G \cdot M}{c_0^2} \tag{A.3}$$

whereby we are initially adopting the current convention that the gravitational field is caused by the mass of the gravitational source.

For a photon passing the gravitational source (e.g. the sun), we split c into its radial and tangential components. Here we first have to take into account the fact that the reduction in c depends on the direction of the path:

$$c_r = c_0 \cdot \left(1 - \frac{r_s}{r}\right)^1$$
 and  $c_t = c_0 \cdot \left(1 - \frac{r_s}{r}\right)^{1/2}$ . (A.4)

for the maximum radial or tangential component of *c* respectively according to (A.1).

Now we divide *c* into its components using the angle  $\vartheta$ :

$$c_{rad} = c_r \cdot \sin \vartheta$$
 and  $c_{tan} = c_t \cdot \cos \vartheta$  (A.5)

where  $\vartheta$  is the angle between the radius vector from the centre of the sun to the current position of the photon on the one hand, and the radius vector from the sun (vertex) to the photon's closest approach position on the other hand, as shown in figure A.1.

Now inserting (A.4) and (A.5) into

$$\boldsymbol{C}^2 = \boldsymbol{C}_{rad}^2 + \boldsymbol{C}_{tan}^2 \tag{A.6}$$

we get

$$c^{2} = c_{0}^{2} \cdot \left[ \left( 1 - \frac{r_{s}}{r} \right) \cdot \cos^{2} \vartheta + \left( 1 - \frac{r_{s}}{r} \right)^{2} \cdot \sin^{2} \vartheta \right]$$
(A.7)

and by expanding the terms in the bracket, it follows that

$$c^{2} = c_{0}^{2} \cdot \left[ 1 - \frac{r_{s}}{r} \cdot \cos^{2} \vartheta - 2 \frac{r_{s}}{r} \cdot \sin^{2} \vartheta + \left(\frac{r_{s}}{r}\right)^{2} \cdot \sin^{2} \vartheta \right].$$

In a usual gravitational field (i.e. in absence of a black hole) we can assume that  $(r_{s}/r) << 1$ , and so the squared term can be ignored. In addition we can take the square root and again ignore higher powers of  $(r_{s}/r)$  as an approximation.

Further using the identity  $sin^2 + cos^2 = 1$  we arrive at

$$c \approx c_0 \cdot \left[ 1 - \frac{r_s}{2r} (1 + \sin^2 \vartheta) \right]. \tag{A.8}$$

We will denote the distance of the photon under consideration from the vertex of the light path by x (figure A.1). In this case,

$$\sin \vartheta = \frac{x}{r} \,. \tag{A.9}$$

Furthermore we define the coordinate perpendicular to x as y (see again figure A.1). We can now replace r by these two parameters, since

$$r^2 = x^2 + y^2$$
. (A.10)

Equation (A.8) can therefore be written as

$$c = c_0 \cdot \left[ 1 - \frac{r_s}{2\sqrt{x^2 + y^2}} \left( 1 + \frac{x^2}{x^2 + y^2} \right) \right].$$
(A.11)

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Now, as y is perpendicular to x and does so not depend on x, we can perform a straightforward differentiation with respect to y:

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$$\frac{dc}{dy} = c_0 \cdot \left[ \frac{r_s}{4} \left( x^2 + y^2 \right)^{-\frac{3}{2}} \cdot 2y + \frac{3}{2} \cdot \frac{1}{2} x^2 r_s \left( x^2 + y^2 \right)^{-\frac{5}{2}} \cdot 2y \right]$$

$$\frac{dc}{dy} = c_0 \cdot \frac{r_s y}{2} \cdot \left(\frac{1}{r^3} + \frac{3x^2}{r^5}\right). \tag{A.12}$$

We can now determine the differential angle of deflection  $d\alpha$ :

If a given dispersion dc/dy causes a path difference of  $\Delta x$  at a radial difference of  $\Delta y$ , then the angle of deflection  $\alpha$  during a time  $\Delta t$  is given by

$$\alpha = \frac{\Delta x(t)}{\Delta y} = \frac{dc}{dy} \Delta t \quad \text{, or differentially for } \alpha$$
$$d\alpha = \frac{dc}{dy} dt \, .$$

This is now related to the distance *x* by

$$dx = c \cdot dt$$

leading us to

$$d\alpha = \frac{1}{c}\frac{dc}{dy}dx$$
 as our description of the deflection. (A.13)

From (A.12) we therefore get:

$$\frac{d\alpha}{dx} = \frac{r_s y}{2} \left( \frac{1}{r^3} + \frac{3x^2}{r^5} \right). \tag{A.14}$$

Next we will integrate (A.14) to determine the overall angle of deflection. To achieve this, it is more convenient to integrate over the angle  $\vartheta$  rather than over *x*. The angle  $\vartheta$  depends on *x*, *y*, and *r* as follows:

$$r = \frac{y}{\cos \vartheta} \tag{A.15}$$

$$\mathbf{x} = \mathbf{y} \cdot \tan \vartheta \,. \tag{A.16}$$

Differentiating the latter yields

$$\frac{dx}{d\vartheta} = y \cdot \frac{1}{\cos^2 \vartheta} \tag{A.17}$$

where we assume that the change in y along the path of the light is negligible (i.e.  $\alpha$  is very small).

So (continuing with (A.14) and (A.17)) the derivative of  $\alpha$  with respect to  $\vartheta$  is:

$$\frac{d\alpha}{d\vartheta} = \frac{d\alpha}{dx} \cdot \frac{dx}{d\vartheta} = \frac{r_s y^2}{2\cos^2 \vartheta} \left( \frac{\cos^3 \vartheta}{y^3} + \frac{3\cos^5 \vartheta}{y^5} \cdot y^2 \cdot \tan^2 \vartheta \right).$$
$$\frac{d\alpha}{d\vartheta} = \frac{r_s}{2y} \left( \cos \vartheta + 3\sin^2 \vartheta \cdot \cos \vartheta \right).$$
(A.18)

Now the integral over  $d\vartheta$  is

$$\alpha = \frac{r_s}{2y} \int \left( \cos \vartheta + 3\sin^2 \vartheta \cdot \cos \vartheta \right) d\vartheta = \frac{r_s}{2y} \left( \sin \vartheta + \sin^3 \vartheta \right). \tag{A.19}$$

The limits of integration for  $\vartheta$  are  $\vartheta = -\pi/2$  to  $\vartheta = \pi/2$  which yields

$$\alpha = \frac{r_s}{2y} \cdot 4 = 4 \cdot \frac{GM}{c^2 y} \,. \tag{A.20}$$

Remark (repeat): This result is also reached using Einstein's general theory of relativity. However it is obvious from the preceding that the very challenging method used by Einstein is not necessary.

Let us remind ourselves once again that this result corresponds to twice the normal gravitational acceleration and conforms to observation. It also conforms to the predictions of general relativity – however without making any use of general relativity.

#### Appendix B The Schwarzschild Solution

The Schwarzschild solution is normally deduced by starting with Einstein's field equations and using Riemannian geometry to develop the special solution. We will now present a different derivation. We will start with the *physical* version of special relativity and the Basic Particle Model and demonstrate how easily this solution can be deduced from these physical foundations.

According to the Basic Particle Model, an elementary particle is made up of two sub-particles orbiting each other. Their temporal behaviour is described by the following equation for the proper time  $\tau$  of an object in motion (refer to eq. (5.1) / chapter 5):

$$\tau = t \cdot \left(1 - \frac{v^2}{c^2}\right)^{1/2}.$$
(B.1)

(This equation follows from the Basic Particle Model as well as from Einstein's special theory of relativity, i.e. part of the Lorentz transformation).

We now take the derivative of equation with respect to *t* and then square it and rearrange the terms:

$$c^{2}\left(\frac{d\tau}{dt}\right)^{2} = c^{2} - v^{2}. \tag{B.2}$$

In a gravitational field this temporal behaviour changes. Understanding this change guides us directly to the Schwarzschild solution.

We first split the speed into a radial and a tangential component, since the Schwarzschild solution is normally given using polar coordinates:

$$c^{2} \left(\frac{d\tau}{dt}\right)^{2} = c^{2} - v_{rad}^{2} - v_{tan}^{2}$$
(B.3)

where  $v_{rad}$  and  $v_{tan}$  denote the radial und the tangential components of the speed respectively.

Now we have to allow for the fact that the components of c, which are  $c_{rad}$  and  $c_{tan}$ , change in a gravitational field according to eq. (A.1) in the following way:

$$C_{rad} \rightarrow C'_{rad} = C_{rad} \cdot \left(1 - \frac{r_s}{r}\right)$$
 (B.4)

$$c_{tan} \rightarrow c'_{tan} = c_{tan} \cdot \left(1 - \frac{r_s}{r}\right)^{1/2}$$
 (B.5)

Here again, to simplify the equations, we have used the common definition of the so-called Schwarzschild radius  $r_s$ 

$$r_s = 2 \cdot \frac{G \cdot M}{c^2} \, .$$

As a consequence of the change in *c* depending on the direction, the binding field within a particle contracts and with it the size of the particle in a radial direction with respect to the centre of gravity:

$$r \rightarrow r' = r \cdot \left(1 - \frac{r_s}{r}\right)^{1/2}$$
 (B.6)

Now, inside the gravitational field, the following occurs according to (B.4) through (B.6):

- 1. The circular motion within the elementary particle changes to an elliptical shape. This means, it is compressed in the radial direction of the gravitational field
- 2. The speed of the basic particles in the orbit changes from c to a value between  $c_{rad}$  and  $c_{tan}$  depending on the current direction of motion.

Unfortunately this complicates the calculation of the temporal variation.

To solve this, we use a trick in that we change to a modified coordinate system. We define a new radial coordinate  $\hat{r}$  as follows:

$$\hat{r} = r \cdot \left(1 - \frac{r_s}{r}\right)^{-1/2}.$$
(B.7)

The corresponding derivative of this with respect to time is given by

$$\hat{r}' = \hat{v}_{rad} = v_{rad} \left( 1 - \frac{r_s}{r} \right)^{-1/2}.$$
 (B.8)

The coordinate in the tangential direction of the field, and thus  $v_{tan}$ , remains unchanged.

In the new coordinate system of  $(v_{tan}, \hat{v}_{rad})$  we now have the following situation

- 1. The orbit within the elementary particle is circular once again
- 2. The speed of light is still reduced but now independent of the direction of motion and is given by

$$c = c_0 \cdot \sqrt{1 - \frac{r_s}{r}}$$

where  $c_0$  is the speed of light outside a gravitational field. *c* takes the place of  $c_{rad}$  and  $c_{tan}$ . The duration of the orbital period of the elementary particle is not changed by this coordinate transformation, so we can use it for our calculation.

Using the alternative coordinate system we can now write the temporal equation (B.3) as

$$c_0^2 \left(\frac{d\tau}{dt}\right)^2 = c^2 - \hat{v}_{rad}^2 - v_{tan}^2.$$
(B.9)

Please note the physical significance of this equation. It describes the extension of the temporal period of the orbit within an elementary particle in a gravitational field.

Now inserting

$$c = \sqrt{1 - \frac{r_s}{r}} \cdot c_0,$$
  
$$\hat{v}_{rad} = \frac{dr}{dt} \cdot \left(1 - \frac{r_s}{r}\right)^{-1/2} = r' \left(1 - \frac{r_s}{r}\right)^{-1/2},$$

$$v_{tan}^{2} = \left( \left( \frac{d\vartheta}{dt} \right)^{2} + \sin^{2}\vartheta \cdot \left( \frac{d\varphi}{dt} \right)^{2} \right) \cdot r^{2} \text{ or simplifying the notation}$$
$$v_{tan}^{2} = \left( \vartheta'^{2} + \sin^{2}\vartheta \cdot \varphi'^{2} \right) \cdot r^{2}$$

into (B.9) yields

$$C_0^2 \tau'^2 = \left(1 - \frac{r_s}{r}\right) \cdot C_0^2 - \left(1 - \frac{r_s}{r}\right)^{-1} r'^2 - \left(\vartheta'^2 + \sin^2\vartheta \cdot \varphi'^2\right) \cdot r^2$$

(B.10)

which is a usual form of the Schwarzschild solution.

Note (1):

The reduction of *c* in the gravitational field is stated here as a fact; it will be explained in the following chapter.

Note (2):

This deduction of the Schwarzschild solution only uses basic mathematics. Neither the Riemannian geometry nor Einstein's field equations are needed.

# Appendix C Variation in the Speed of Light

Equation (A.1):

$$c(r) = c_0 \cdot (1 - 2 \cdot \frac{G \cdot M}{r \cdot c_0^2})^p .$$
 (C.1)

is the basis for explaining all phenomena associated with gravity. We now have to understand this dependency.

The reduction of c is caused by the exchange particles, which produce xxx the binding field between the <u>basic particles</u>.

According to the Basic Particle Model, the binding field is the field of the strong interaction, which is - also according to the model - the universal force in our world that affects all existing particles.

These exchange particles, which cause an attraction or repulsion in a random way, also interact with any light-like particle. They cause such a particle to be deflected towards the origin of the exchange particle (i.e. the basic particle) or away from it. So the light-like particle performs a random walk as depicted in figure C1. As a result the average speed of the light-like particle is reduced, even though the microscopic speed is still the speed of light  $c_0$ .



Figure C1: Disturbed path of a light-like particle

According to the Basic Particle Model, the field of every basic particle is produced by a similar collection of elementary charges (of the strong field), and is thus independent of the individual type of elementary particle to which the basic particle belongs. The same is true of the flow of exchange particles. Consequently the reduction in *c* and hence the gravitational effect are also independent of the elementary particle, which means that it is independent of the size and consequently independent of the mass of the elementary particle. Each elementary particle provides the same contribution to the gravitational field.

This understanding is at variance with conventional physics, but it helps to overcome the principle problems of present-day gravitational physics.

# **Speed Reduction in Detail**

As mentioned above, the reduction in *c* is caused by the continuous random deflection of the light-like particle. This reduction will now be determined in detail. We will treat two orthogonal cases in such a way that every arbitrary movement of such a particle is a vector combination of these two cases.

In the case of the tangential motion of a light-like particle travelling at a speed c, every interaction with an exchange particle coming from the centre of the gravitational field causes a transverse speed v, which can act to either side depending on the sign of the exchange particle. This reduces the effective speed of the original motion to the projected value  $c_{eff}$ . See figure C2.



Figure C2: Transverse deflection

In this case the projected effective speed is

$$c_{eff,tan} = \sqrt{c^2 - v^2} \quad \text{or}$$

$$c_{eff,tan} = c_{\sqrt{1 - \frac{v^2}{c^2}}}.$$
(C.2)

The other case is that of a light-like particle moving in a radial direction in relation to the centre of gravity. In this case the effective speed is given as follows:



Figure C3: Longitudinal deflection

When the exchange particle exerts an attractive force, the effective speed over an assumed distance s is given by

$$C_{rad} = C - V$$

and the travel time over this distance s becomes

$$t_1 = s/(c-v);$$

and correspondingly for a repulsive interaction

$$t_2 = s/(c+v).$$

Averaging the times for the two cases:

$$t_{avg} = (t_2 + t_2)/2 = s \cdot \frac{c}{c^2 - v^2}$$
 (C.3)

The effective speed is therefore given by:

$$c_{eff} = \frac{s}{t_{avg}} = \frac{c^2 - v^2}{c} = c \cdot \left(1 - \frac{v^2}{c^2}\right).$$
(C.4)

Now we calculate the following for the size of the deflection speed *v*:

The deflection speed is the sum of all single deflection steps which are caused by the exchange particles. The rate of these steps is proportional to the number of particles N in the configuration which constitute the gravitational source. But as these attractive and repulsive pulses are added up randomly, the resulting deflection is - by the rules of random statistics - the square root of the sum of impacts. So we have

$$V \propto \sqrt{\frac{N}{f(r)}}$$
 (C.5)

Inserting eq. (C.5) into eqs. (C.2) and (C.4) we get:

$$c_{eff} = c \cdot \left(1 - g \frac{N}{c^2 \cdot f(r)}\right)^{\rho}$$
(C.6)

where p=1 for radial motion and p=1/2 for tangential motion.

The function f(r) comprises several aspects. A basic particle, which is deflected from its original path by the random process described above, is in the long term guided back to its original path keeping the particle on its path on average. Furthermore it is known in the case of photons that particles which are originally not correlated to each other become correlated if they move along next to each other for some time. This behaviour could also be assumed for the exchange particles. This fact will influence the range dependency of the deflection process. The collection of all these influences shall be covered by the function f(r).

As we do not have any further information or an actual model for these correlational effects, we will adapt the function to fit the experimentally known result. This means assuming

$$f(r) = r$$
; and therefore

$$c_{eff} = c \cdot \left(1 - \frac{g \cdot N}{c^2 \cdot r}\right)^{\rho}.$$
 (C.7)

The parameter g is the proportionality factor for the influence of the flow related to N particles.

The dependency of the spatial size of multi-pole fields in a gravitational field follows from two facts:

- 1. The speed of propagation of the binding field depends on the direction corresponding to (C.1).
- 2. The binding field is produced by a multi-pole configuration of charges, which have to have a specific geometrical arrangement. (Refer to the <u>Basic Particle Model</u>.) As a consequence of point 1, the field is deformed in such a way that the distance from the multipole minimum is

$$r_{red} = r \cdot \left(1 - \frac{g \cdot N}{c^2 \cdot r}\right)^{(p-1/2)}$$
(C.8)

where again p=1 for radial motion and p=1/2 for tangential motion.

Equations (C.7) and (C.8) were the solutions to be deduced in this chapter.